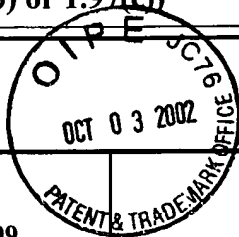


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5269

In Re Application Of: Hendricks, et al.



Serial No.  
09/427,938

Filing Date  
October 27, 1999

Examiner  
N/A

Group Art Unit  
2711

Title: Virtual On-Demand Electronic Book

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Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: October 3, 2002

John Harrop

Reg. No. 41,817

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Suite 400

Washington, D.C. 20004

(202) 442-3000

(202) 442-3199 facsimile

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<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. <b>5269</b>		SERIAL NO. <b>09/427,938</b>	
				John S. Hendricks, et al.			
				FILING <b>October 27, 1999</b>		GROUP <b>2711</b>	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,985,697	1/15/1991	Boulton	707	500.1		
	5,799,157	8/25/1998	Escallon	705	27		
	6,052,717	4/18/2000	Reynolds, et al.	709	218		
	4,855,725	8/8/1989	Fernandez	345	175		
	5,144,663	9/1/1992	Kudelski, et al.	380	230		
	5,438,372	8/1/1995	Tsumori, et al.	348	565		
	6,034,680	3/17/2000	Kessenich	345	733		
	5,093,718	3/3/1992	Hoarty, et al.	725	120		
	5,404,393	4/4/1995	Remillard	379	93.25		
	5,479,268	12/26/1995	Young, et al.	386	83		
	5,319,455	6/7/1994	Hoarty, et al.	725	34		
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	WO 97/23819	7/3/1997	WIPO				
	WO 97/22110	6/19/1997	WIPO				
	WO 97/22067	6/19/1997	WIPO				
	WO 97/22065	6/19/1997	WIPO				
	WO 97/22102	6/19/1997	WIPO				
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Caitlin Bestler, "Flexible Data Structures and Interface Rituals for Rapid Development of OSD Applications", June 1993, Pages 223-236					
		Alexander Gelman, et al., "An Architecture For Interactive Applications", May 1993, Pages 848-852					
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. 5269		SERIAL NO. 09/427,938	
				John S. Hendricks, et al.			
				FILING October 27, 1999		GROUP 2711	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	TRADE MARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,014,125	5/7/1991	Pocock, et al.	725	93	RECEIVED OCT 07 2002 Technology Center 2600
		5,481,296	1/2/1996	Cragun, et al.	725	136	
		5,644,354	7/1/1997	Thompson, et al.	725	138	
		5,724,091	3/3/1998	Freeman, et al.	725	138	
		5,663,757	9/2/1997	Morales	725	5	
		5,793,414	8/11/1998	Shaffer	725	133	
		5,570,126	10/29/1996	Blahut, et al.	725	93	
		5,940,073	8/17/1999	Klosterman, et al.	345	721	
		5,986,690	11/16/1999	Hendricks	725	60	
		5,446,490	8/29/1995	Blahut, et al.	725	97	
		5,761,485	6/2/1998	Munyan	345	839	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		WO 97/22111	6/19/1997	WIPO			
		WO 97/22103	6/19/1997	WIPO			
		WO 97/22063	6/19/1997	WIPO			
		WO 97/22105	6/19/1997	WIPO			
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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		Henrie van den Boom, "An Interactive Videotex System", Nov. - Dec. 1986, Pages 397-401					
		Nicole Yankelovich, et al., "Reading and Writing the Electronic Book", October 1985, Pages 16-30					
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GROUP

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	5,477,262	12/19/1995	Banker, et al.	725	38	
	5,600,368	2/4/1997	Matthews, III	348	143	
	4,381,522	4/26/83	Lambert	348	143	
	5,500,794	3/19/1996	Fujita, et al.	700	83	
	5,396,546	3/7/1995	Remillard	379	93.24	
	5,206,929	4/27/1993	Langford, et al.	345	723	

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						YES	NO
	WO 97/22109	6/19/1997	WIPO				
	WO 97/22112	6/19/1997	WIPO				
	WO 97/22079	6/19/1997	WIPO				
	WO 97/22049	6/19/1997	WIPO				
	WO 97/22100	6/19/1997	WIPO				

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		Craig Boyle, et al., "A Survey and Classification of Hypertext Documentation Systems", June 1992, Pages 98-111
		Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynamic Web documents", June 1997

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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		WO 97/22080	6/19/1997	WIPO				
		WO 97/22106	6/19/1997	WIPO				
		WO 97/22062	6/19/1997	WIPO				
		WO 97/22107	6/19/1997	WIPO				
		JP 7230466 Abstract	8/29/1995	JP				

			Speech Technology; "Electronic Dictionary Pronounces Over 35,000 Words"; 1989; Pages 78-79

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PAGE 5 OF 8

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**November 17, 1999**

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[illegible]

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 98/18086	4/30/1998	WIPO				
		WO 98/08344	2/24/19098	WIPO				
		EP0838798A1	4/29/1998	Europe				
		WO 99/26415	5/27/1999	WIPO				
		WO 99/44144	9/2/1999	WIPO				


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PAGE 6 OF 8



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November 17, 1999

GROUP	2711
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[illegible]

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		<b>WO 01/18665A1</b>	<b>3/15/2001</b>	<b>WIPO</b>				
		<b>EP0810534A2</b>	<b>12/3/1997</b>	<b>Europe</b>				
		<b>EP0472070A2</b>	<b>2/26/1992</b>	<b>Europe</b>				
		<b>EP0539106A2</b>	<b>4/28/1993</b>	<b>Europe</b>				
		<b>60-143086</b>	<b>7/29/1985</b>	<b>Japan</b>				


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09/441,892

John Hendricks, et al.

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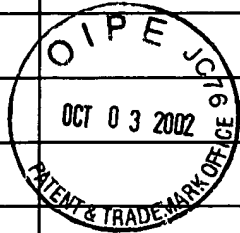
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						YES	NO
	WO 93/22877	11/11/1993	WIPO				
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	WO 95/15649	12/2/1994	WIPO				
	WO 96/25006	8/15/1996	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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